

**PCT REQUEST**

X16114

Original (for **SUBMISSION**) - printed on 12.11.2003 09:06:20 AM

<b>0</b>	<b>For receiving Office use only</b>	
<b>0-1</b>	International Application No.	
<b>0-2</b>	International Filing Date	
<b>0-3</b>	Name of receiving Office and "PCT International Application"	
<b>0-4</b>	<b>Form - PCT/RO/101 PCT Request</b>	
<b>0-4-1</b>	Prepared using	<b>PCT-EASY Version 2.92 (updated 01.07.2003)</b>
<b>0-5</b>	<b>Petition</b> The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty	
<b>0-6</b>	<b>Receiving Office (specified by the applicant)</b>	<b>United States Patent and Trademark Office (USPTO) (RO/US)</b>
<b>0-7</b>	<b>Applicant's or agent's file reference</b>	<b>X16114</b>
<b>I</b>	<b>Title of Invention</b>	<b>ANTITUMOR COMPOUNDS AND METHODS</b>
<b>II</b>	<b>Applicant</b>	
<b>II-1</b>	This person is:	<b>applicant only</b>
<b>II-2</b>	Applicant for	<b>all designated States except US</b>
<b>II-4</b>	Name	<b>ELI LILLY AND COMPANY</b>
<b>II-5</b>	Address:	<b>Lilly Corporate Center Indianapolis, IN 46285 United States of America</b>
<b>II-6</b>	State of nationality	<b>US</b>
<b>II-7</b>	State of residence	<b>US</b>
<b>II-8</b>	Telephone No.	<b>317-277-3537</b>
<b>II-9</b>	Facsimile No.	<b>317-276-3861</b>
<b>III-1</b>	<b>Applicant and/or Inventor</b>	
<b>III-1-1</b>	This person is:	<b>applicant and inventor</b>
<b>III-1-2</b>	Applicant for	<b>US only</b>
<b>III-1-4</b>	Name (LAST, First)	<b>MADER, Mary, Margaret</b>
<b>III-1-5</b>	Address:	<b>11188 Avery Row Fishers, IN 46038 United States of America</b>
<b>III-1-6</b>	State of nationality	<b>US</b>
<b>III-1-7</b>	State of residence	<b>US</b>

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III-2	<b>Applicant and/or Inventor</b>	
III-2-1	This person is:	applicant and inventor
III-2-2	Applicant for	US only
III-2-4	Name (LAST, First)	MARTIN-CABREJAS, Luisa, Maria
III-2-5	Address:	Lilly, S. A. Avda. Industria, 30 28108 Alcobendas-Madrid Spain
III-2-6	State of nationality	ES
III-2-7	State of residence	ES
III-3	<b>Applicant and/or Inventor</b>	
III-3-1	This person is:	applicant and inventor
III-3-2	Applicant for	US only
III-3-4	Name (LAST, First)	RICHETT, Michael, Enrico
III-3-5	Address:	5832 Baron Court Indianapolis, IN 46250 United States of America
III-3-6	State of nationality	US
III-3-7	State of residence	US
IV-1	<b>Agent or common representative; or address for correspondence</b> The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as:	agent
IV-1-1	Name (LAST, First)	TUCKER, Tina, M.
IV-1-2	Address:	ELI LILLY AND COMPANY P. O. Box 6288 Indianapolis, IN 46206-6288 United States of America
IV-1-3	Telephone No.	317-277-3537
IV-1-4	Facsimile No.	317-276-3861
IV-1-5	Agent's registration No.	47,145
IV-2	<b>Additional agent(s)</b>	additional agent(s) with same address as first named agent
IV-2-1	Name(s)	PALMBERG, Arleen(40,422)

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<b>V</b>	<b>Designation of States</b>	
<b>V-1</b>	Regional Patent (other kinds of protection or treatment, if any, are specified between parentheses after the designation(s) concerned)	<p>AP: GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW and any other State which is a Contracting State of the Harare Protocol and of the PCT</p> <p>EA: AM AZ BY KG KZ MD RU TJ TM and any other State which is a Contracting State of the Eurasian Patent Convention and of the PCT</p> <p>EP: AT BE BG CH&amp;LI CY CZ DE DK EE ES FI FR GB GR HU IE IT LU MC NL PT RO SE SI SK TR and any other State which is a Contracting State of the European Patent Convention and of the PCT</p> <p>OA: BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG and any other State which is a member State of OAPI and a Contracting State of the PCT</p>
<b>V-2</b>	National Patent (other kinds of protection or treatment, if any, are specified between parentheses after the designation(s) concerned)	<p>AE AG AL AM AT (patent and utility model) AU AZ BA BB BG BR BY BZ CA CH&amp;LI CN CO CR CU CZ (patent and utility model) DE (patent and utility model) DK (patent and utility model) DM DZ EC EE (patent and utility model) EG ES FI (patent and utility model) GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NI NO NZ OM PG PH PL PT RO RU SC SD SE SG SK (patent and utility model) SL SY TJ TM TN TR TT TZ UA UG US UZ VC VN YU ZA ZM ZW</p>
<b>V-5</b>	<p><b>Precautionary Designation Statement</b></p> <p>In addition to the designations made under items V-1, V-2 and V-3, the applicant also makes under Rule 4.9(b) all designations which would be permitted under the PCT except any designation(s) of the State(s) indicated under item V-6 below. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit.</p>	
<b>V-6</b>	Exclusion(s) from precautionary designations	NONE

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<b>VI-1</b>	<b>Priority claim of earlier national application</b>		
<b>VI-1-1</b>	Filing date	<b>22 November 2002 (22.11.2002)</b>	
<b>VI-1-2</b>	Number	<b>60/428,891</b>	
<b>VI-1-3</b>	Country	<b>US</b>	
<b>VI-2</b>	<b>Priority document request</b> The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) identified above as item(s):	<b>VI-1</b>	
<b>VII-1</b>	<b>International Searching Authority Chosen</b>	<b>European Patent Office (EPO) (ISA/EP)</b>	
<b>VIII</b>	<b>Declarations</b>	<b>Number of declarations</b>	
<b>VIII-1</b>	Declaration as to the identity of the inventor	-	
<b>VIII-2</b>	Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent	1	
<b>VIII-3</b>	Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application	1	
<b>VIII-4</b>	Declaration of inventorship (only for the purposes of the designation of the United States of America)	1	
<b>VIII-5</b>	Declaration as to non-prejudicial disclosures or exceptions to lack of novelty	-	

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VIII-2-1	<b>Declaration: Entitlement to apply for and be granted a patent</b> Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent (Rules 4.17(ii) and 51bis.1(a)(ii)), in a case where the declaration under Rule 4.17(iv) is not appropriate: Name:	<b>in relation to this international application</b>  <b>ELI LILLY AND COMPANY</b> <b>is entitled to apply for and be granted a patent by virtue of the following:</b>
VIII-2-1 (ii)		<b>ELI LILLY AND COMPANY is entitled as employer of the inventor, MADER, Mary, Margaret</b>
VIII-2-1 (ii)		<b>ELI LILLY AND COMPANY is entitled as employer of the inventor, RICHETT, Michael, Enrico</b>
VIII-2-1 (ix)	<b>This declaration is made for the purposes of:</b>	<b>all designations except the designation of the United States of America</b>

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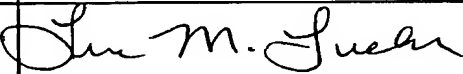
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VIII-3-1	<p><b>Declaration: Entitlement to claim priority</b></p> <p>Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application specified below, where the applicant is not the applicant who filed the earlier application or where the applicant's name has changed since the filing of the earlier application (Rules 4.17(iii) and 51bis.1(a)(iii));</p> <p>Name:</p>	<p>in relation to this international application</p> <p>ELI LILLY AND COMPANY</p> <p>is entitled to claim priority of earlier application No. 60/428,891 by virtue of the following:</p>
VIII-3-1 (iv)		an assignment from MADER, Mary, Margaret to ELI LILLY AND COMPANY, dated 16 January 2003 (16.01.2003)
VIII-3-1 (iv)		an assignment from MARTIN, Luisa to ELI LILLY AND COMPANY, dated 14 January 2003 (14.01.2003)
VIII-3-1 (iv)		an assignment from RICHTT, Michael, Enrico to ELI LILLY AND COMPANY, dated 16 January 2003 (16.01.2003)
VIII-3-1 (ix)	This declaration is made for the purposes of:	<p>AP: (GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW); EA: (AM AZ BY KG KZ MD RU TJ TM); EP: (AT BE BG CH&amp;LI CY CZ DE DK EE ES FI FR GB GR HU IE IT LU MC NL PT RO SE SI SK TR); OA: (BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG); AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH&amp;LI CN CO CR CU CZ DE DK DM DZ EC EE EG ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MN MW MX MZ NI NO NZ OM PG PH PL PT RO RU SC SD SE SG SK SL SY TJ TM TN TR TT TZ UA UG UZ VC VN YU ZA ZM ZW</p>

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IX	Check list	number of sheets	electronic file(s) attached
IX-1	Request (including declaration sheets)	10	-
IX-2	Description	24	-
IX-3	Claims	3	-
IX-4	Abstract	1	EZABST00.TXT
IX-5	Drawings	0	-
IX-7	TOTAL	38	
	Accompanying items	paper document(s) attached	electronic file(s) attached
IX-8	Fee calculation sheet	✓	-
IX-11	Copy of general power of attorney	✓	-
IX-17	PCT-EASY diskette	-	Diskette
IX-18	Other (specified):	Return Receipt Postcard	-
IX-18	Other (specified):	PCT Cover Letter	-
IX-18	Other (specified):	Delegation of Authority	-
IX-19	Figure of the drawings which should accompany the abstract		
IX-20	Language of filing of the international application	English	
X-1	Signature of applicant, agent or common representative		
X-1-1	Name	ELI LILLY AND COMPANY	
X-1-2	Name of signatory	Tina M. Tucker	
X-1-3	Capacity	Patent Attorney	

## FOR RECEIVING OFFICE USE ONLY

10-1	Date of actual receipt of the purported international application	
10-2	Drawings:	
10-2-1	Received	
10-2-2	Not received	
10-3	Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application	
10-4	Date of timely receipt of the required corrections under PCT Article 11(2)	
10-5	International Searching Authority	ISA/EP
10-6	Transmittal of search copy delayed until search fee is paid	

## FOR INTERNATIONAL BUREAU USE ONLY

11-1	Date of receipt of the record copy by the International Bureau	
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